

On the fractional parts of the powers of a rational number.

By

Kurt Mahler (Manchester).

Let u and v be two coprime integers with $u > v > 1$, such that $\frac{u}{v} > 1$, suppose that

$$\rho_n = \left(\frac{u}{v}\right)^n - \left[\left(\frac{u}{v}\right)^n\right].$$

Then the following results, as special cases of more general theorems, are proved in this paper:

a:
$$\lim_{n \rightarrow \infty} v^n \rho_n = \infty.$$

b: When ε is a positive constant and

$$\rho_n \leq u^{-\varepsilon n}$$

for an infinite sequence of positive integers $n = n_1, n_2, n_3, \dots$ with $n_{\nu+1} > n_\nu$, then

$$\limsup_{n \rightarrow \infty} \frac{n_{\nu+1}}{n_\nu} = \infty.$$

The proofs of a) and b) depend on generalizations of the Thue-Siegel theorem, due to Schneider or myself, and are very simple.

I.

1) Some years ago, I proved the following theorem¹⁾:

¹⁾ Math. Annalen 107 (1932), 691—730, in particular Satz 2, p. 722.

LEMMA 1: Let $F(x,y)$ be an irreducible binary form of degree $n \geq 3$ with integer coefficients, x and y two coprime integers, P_1, P_2, \dots, P_t ($t \geq 1$) a finite number of different prime numbers, and $Q(x,y) = P_1^{h_1} P_2^{h_2} \dots P_t^{h_t}$ the greatest product of powers of these primes, which divides $F(x,y)$. Then

$$Q(x,y) \leq c_0 \max(|x|, |y|)^{2\sqrt{n}},$$

where $c_0 > 0$ is a constant, which does not depend on x and y .

From this lemma, the following one is a trivial consequence:

LEMMA 2: Let a, b, x be three non-vanishing integers, $n \geq 5$ a prime number, v an integer ≥ 2 , and $q(x) = v^\nu$ the highest power of v , which divides $ax^n - b$. Then

$$q(x) \leq c_1 |x|^{2\sqrt{n}+1},$$

where $c_1 > 0$ is a constant, which does not depend on x .

Proof: Since n is an odd prime, the binary form $F(x,y) = ax^n - by^n$ either is irreducible, or of the form

$$F(x,y) = (\alpha x - \beta y) G(x,y),$$

where α, β are integers, and $G(x,y)$ is an irreducible binary form of degree $n-1$. Suppose that P_1, P_2, \dots, P_t are the different prime factors of v . Then apply Lemma 1 with $y=1$ to $F(x,y)$ in the first case, and to $G(x,y)$ in the second case. Then we get

$$q(x) = O(|x|^{2\sqrt{n}})$$

in the first case, and

$$q(x) = O(|x| \cdot |x|^{2\sqrt{n-1}})$$

in the second case, since $\alpha x - \beta y = O(x)$.

THEOREM 1: Let a, b, u, v be four non-vanishing integers with $u > v > 1$. Then the equation

$$(1): \quad au^x - v^x y = b$$

has at most a finite number of solutions in integers $x \geq 0$ and y .

Proof: Let λ be the number

$$\lambda = \frac{\log v}{\log u};$$

thus $0 < \lambda < 1$. Take for n a prime number ≥ 5 , such that

$$1 + 2\sqrt[n]{n} < \lambda n;$$

this condition is satisfied, for instance, when

$$n \geq \left(\frac{3}{\lambda}\right)^2.$$

Obviously, to every solution x, y of (1), there are two integers ξ and ν with

$$x = n\xi + \nu, \quad \xi \geq 0, \quad 0 \leq \nu \leq n-1, \quad au^\nu (u^\xi)^n - b = v^\nu y (v^\xi)^n.$$

Hence

$$a u^\nu X^n - b, \quad \text{where} \quad X = u^{\xi/n},$$

is divisible by a power of v , which, at least, is equal to

$$(v^{\xi/n})^n = X^{\xi n}.$$

But by Lemma 2, applied to each of the n polynomials

$$a u^\nu X^n - b \quad (\nu = 0, 1, \dots, n-1),$$

this power of v must be

$$O(X^{2\sqrt[n]{n} + 1}),$$

and therefore X and x cannot be arbitrarily large, i. e., (1) has at most a finite number of solutions, q. e. d.

THEOREM 2: *Under the conditions of theorem 1, the congruence*

$$a u^x \equiv d \pmod{v^x}$$

can hold only for a finite number of integers $x \geq 0$.

THEOREM 3: *Suppose that a, u, v are integers with $a \neq 0, u > v > 1, v \nmid u$. Then*

$$\lim_{n \rightarrow \infty} v^n \left\{ a \left(\frac{u}{v}\right)^n - \left[a \left(\frac{u}{v}\right)^n \right] \right\} = \infty.$$

These two theorems are trivial consequences of Theorem 1. In the case of Theorem 3, the additional condition $v \nmid u$ makes it impossible, that $au^x - v^x y = 0$ has an infinity of solutions.

II.

2) The following theorem can be proved:

LEMMA 3: *Let $\vartheta \neq 0$ be an algebraic number and $p_1|q_1, p_2|q_2, p_3|q_3,$*

... an infinite sequence of simplified fractions with the following properties:

a:
$$1 \leq q_1 < q_2 < q_3 < \dots$$

b: For every n , p_n and q_n can be written as

$$p_n = P_1^{h_1} \dots P_s^{h_s} p_n^*, \quad q_n = Q_1^{k_1} \dots Q_t^{k_t} q_n^*,$$

where $P_1, \dots, P_s, Q_1, \dots, Q_t$ is a given finite system of different prime numbers, $h_1, \dots, h_s, k_1, \dots, k_t$ are integers ≥ 0 and p_n^*, q_n^* are integers, such that as $n \rightarrow \infty$

$$p_n^* = O(p_n^\alpha), \quad q_n^* = O(q_n^\beta),$$

where α, β are given constants with $0 \leq \alpha \leq 1, 0 \leq \beta \leq 1$.

c: For every n

$$\left| \vartheta - \frac{p_n}{q_n} \right| \leq q_n^{-\gamma},$$

where γ is a constant with $\gamma > \alpha + \beta$.

Then

$$\limsup_{n \rightarrow \infty} \frac{\log q_{n+1}}{\log q_n} = \infty.$$

For $\alpha = \beta = 1, s = t = 0$, this theorem was proved by Th. Schneider²⁾, and by using his method, I proved it³⁾ for $\alpha = 0, \beta = 1, t = 0$, or for $\alpha = 1, \beta = 0, s = 0$, or for $\alpha = \beta = 0$. The same method, however, leads also to the general result of Lemma 3, as a study of the proof shows. (It is sufficient for this purpose, to use approximation polynomials of the form

$$R(z_1, z_2, \dots, z_n) = \sum R_{l_1 l_2 \dots l_k} z_1^{l_1} z_2^{l_2} \dots z_k^{l_k},$$

where the summation sign refers to all integers l_1, l_2, \dots, l_k with

$$0 \leq l_1 \leq r_1, 0 \leq l_2 \leq r_2, \dots, 0 \leq l_k \leq r_k, \frac{k}{2}(1 - \varepsilon) \leq \sum_{\nu=1}^k \frac{l_\nu}{r_\nu} \leq \frac{k}{2}(1 + \varepsilon).$$

Compare Kapitel 1 of my paper, in particular § 6 and § 8).

²⁾ Journal reine u. angew. Math. 175 (1937), „Über die Approximation algebraischer Zahlen“.

³⁾ Proceedings Royal Academy Amsterdam, 39 (1937), 633—640, 729—737.

THEOREM 4: *Suppose that $\vartheta \neq 0$ is an algebraic number and that u and v are integers with $u > v > 1$, $v \nmid u$, that ε is a positive constant, and that $n = n_1, n_2, n_3, \dots$ is an infinite increasing sequence of positive integers, for which*

$$(2): \quad \vartheta \left(\frac{u}{v} \right)^n - \left[\vartheta \left(\frac{u}{v} \right)^n \right] \leq u^{-\varepsilon n}.$$

Then

$$\limsup_{\nu \rightarrow \infty} \frac{n_{\nu+1}}{n_{\nu}} = \infty.$$

Proof: If again

$$\lambda = \frac{\log v}{\log u},$$

then (2) obviously is equivalent to

$$0 \leq \vartheta - \frac{v^n \left[\vartheta \left(\frac{u}{v} \right)^n \right]}{u^n} \leq \left(\frac{v}{u} \right)^n u^{-\varepsilon n} = u^{-(1-\lambda+\varepsilon)n}.$$

Hence, Lemma 3 can be applied with

$$p = v^n \left[\vartheta \left(\frac{u}{v} \right)^n \right], \quad p^* = \left[\vartheta \left(\frac{u}{v} \right)^n \right], \quad q = u^n, \quad q^* = 1,$$

so that

$$\alpha = 1 - \lambda, \quad \beta = 0, \quad \alpha + \beta < \gamma = 1 - \lambda + \varepsilon,$$

and the assertion follows at once.

Probably, (2) has only a finite number of solutions for n .