

## LATTICE POINTS IN TWO-DIMENSIONAL STAR DOMAINS (III)

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If  $f(x, y) = ax^2 + 2bxy + cy^2$ 

is a positive definite binary quadratic form of determinant

$$ac - b^2 = 1,$$

and  $E$  denotes the domain

$$f(x, y) \leq 1,$$

bounded by the ellipse  $f(x, y) = 1$ , then by a classical result†,

$$\Delta(E) = \sqrt{\frac{3}{4}}.$$

There exists a continuous infinity of critical lattices  $\Lambda$ . Every such lattice contains just six points  $\pm P_1, \pm P_2, \pm P_3$  on the boundary of  $E$ . It is possible to choose the notation such that

$$P_1 + P_2 + P_3 = O.$$

Conversely, six arbitrary boundary points of this type generate a critical lattice, any two independent points among them forming a basis.

The present fourth chapter of this paper deals with the more complicated domain  $K$  obtained by combining two concentric ellipses each of area  $\pi$ . An algorithm is developed for determining  $\Delta(K)$ , which turns out to be a rather complicated function of the simultaneous invariant of the two ellipses.

A similar method can be applied to all domains obtained by combining two convex domains with centre at  $O$ , *e.g.* the star-shaped octagon investigated by Prof. Mordell.

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† Bachmann, *Quadratische Formen*, II (Leipzig und Berlin, 1923), Kap. 5.

## CHAPTER IV. THE DOMAIN BOUNDED BY TWO ELLIPSES.

25. *The invariant J.*

Let

$$(50) \quad f_1(x, y) = a_1x^2 + 2b_1xy + c_1y^2 \quad \text{and} \quad f_2(x, y) = a_2x^2 + 2b_2xy + c_2y^2$$

be two positive definite binary quadratic forms of determinants

$$(51) \quad a_1c_1 - b_1^2 = a_2c_2 - b_2^2 = 1.$$

Further, let

$$(52) \quad J = a_1c_2 - 2b_1b_2 + c_1a_2$$

be the *simultaneous invariant* of these two forms. If an affine transformation of determinant unity,

$$(53) \quad x = \alpha x' + \beta y', \quad y = \gamma x' + \delta y', \quad \text{where} \quad \alpha\delta - \beta\gamma = 1,$$

changes  $f_1$  and  $f_2$  into the new forms

$$f'_1(x', y') = a'_1x'^2 + 2b'_1x'y' + c'_1y'^2$$

and

$$f'_2(x', y') = a'_2x'^2 + 2b'_2x'y' + c'_2y'^2,$$

then by the invariance property of the determinants and of  $J$ ,

$$a'_1c'_1 - b_1'^2 = a'_2c'_2 - b_2'^2 = 1, \quad a'_1c'_2 - 2b'_1b'_2 + c'_1a'_2 = J.$$

It is always possible to choose the transformation (53) so that  $f'_1$  and  $f'_2$  take the canonical forms

$$(54) \quad f'_1(x', y') = x'^2 + y'^2 \quad \text{and} \quad f'_2(x', y') = \lambda x'^2 + \frac{1}{\lambda} y'^2,$$

where  $\lambda$  is a positive number. In this case

$$(55) \quad J = \lambda + \frac{1}{\lambda}.$$

I assume in this chapter that  $f_1$  and  $f_2$ , and so also  $f'_1$  and  $f'_2$ , are *not identical*. Hence  $\lambda \neq 1$ , and therefore, from (55),

$$(56) \quad J > 2.$$

We may further suppose without loss of generality that  $\lambda > 1$ .

26. *The domain  $K$ .*

Let now  $K$  be the domain of all points  $(x, y)$  satisfying at least one of the two inequalities

$$f_1(x, y) \leq 1 \quad \text{and} \quad f_2(x, y) \leq 1.$$

Hence  $K$  is formed by combining two concentric ellipses each of area  $\pi$ . It is evident that  $K$  is a simple star domain; we can then consider the lower bound  $\Delta(K)$ .

The affine transformation (53) changes  $K$  into a domain  $K'$  formed by the points  $(x', y')$  satisfying at least one of the inequalities

$$f_1'(x', y') \leq 1 \quad \text{and} \quad f_2'(x', y') \leq 1.$$

Hence  $K'$  is of the same type as  $K$ .

We can assert that

$$(57) \quad \Delta(K) = \Delta(K').$$

For (53) changes  $K$ -admissible lattices into  $K'$ -admissible lattices, and critical lattices of  $K$  into critical lattices of  $K'$ ; and it leaves the determinant of two points and so also the determinant of a lattice invariant.

Choose the transformation (53) so that  $f_1, f_2$  change into the two forms (54). Then  $K'$  becomes the set of all points  $(x', y')$  for which at least one of the inequalities

$$x'^2 + y'^2 \leq 1 \quad \text{and} \quad \lambda x'^2 + \frac{1}{\lambda} y'^2 \leq 1$$

holds. Here  $\lambda$  is determined uniquely as a function of  $J$  by

$$\lambda = \frac{J + \sqrt{(J^2 - 4)}}{2}.$$

Hence the lower bound  $\Delta(K) = \Delta(K')$  becomes a function of  $J$ , say

$$(58) \quad \Delta(K) = D(J).$$

27. *A property of the critical lattices.*

By the last paragraph, we may assume from now on that

$$f_1(x, y) = x^2 + y^2, \quad f_2(x, y) = \lambda x^2 + \frac{1}{\lambda} y^2.$$

The two ellipses  $f_1 = 1$  and  $f_2 = 1$  intersect at the four points

$$Q_1: (\mu, \nu), \quad Q_2: (-\mu, \nu), \quad Q_3: (-\mu, -\nu), \quad Q_4: (\mu, -\nu),$$

where

$$\mu = \sqrt{\left(\frac{1}{\lambda+1}\right)}, \quad \nu = \sqrt{\left(\frac{\lambda}{\lambda+1}\right)}.$$

Denote by  $C_1$  and  $C_2$  those arcs of  $f_1 = 1$  and  $f_2 = 1$ , respectively, which together form the boundary  $C = C_1 + C_2$  of  $K$ . Hence, on describing  $C$  in a positive direction, the arc of  $C$

from  $Q_4$  to  $Q_1$  belongs to  $C_1$ ,

from  $Q_1$  to  $Q_2$  belongs to  $C_2$ ,

from  $Q_2$  to  $Q_3$  belongs to  $C_1$ ,

from  $Q_3$  to  $Q_4$  belongs to  $C_2$ .

We use the convention of counting every one of the four points  $Q_1, Q_2, Q_3, Q_4$  twice, once in  $C_1$  and once in  $C_2$ .

The affine transformation of determinant unity,

$$(59) \quad x \rightarrow \lambda^{-1}y, \quad y \rightarrow \lambda^1x,$$

evidently transforms  $K$  into itself, interchanges the parts  $C_1$  and  $C_2$  of  $C$ , and permutes the points  $Q_1, Q_2, Q_3, Q_4$  cyclically, and by the last paragraph it changes critical lattices again into critical lattices. Hence to every critical lattice with just  $m$  points on  $C_1$  and  $n$  points on  $C_2$  there corresponds a second critical lattice with just  $n$  points on  $C_1$  and  $m$  points on  $C_2$ .

**THEOREM 23.** *A critical lattice  $\Lambda$  of  $K$  has at most six points on  $C_1$ . If it contains six points on  $C_1$ , then these are of the form  $\pm P_1, \pm P_2, \pm P_3$ , where  $P_1 + P_2 + P_3 = O$ . Further,*

$$(60) \quad \Delta(K) = d(\Lambda) = \sqrt{\frac{3}{4}},$$

and there are also six lattice points of the same type on  $C_2$ †.

*Proof.* The lattice  $\Lambda$  is admissible with respect to the circle  $f_1 \leq 1$ , and so, by the introduction, cannot contain more than six points on its boundary. If it has six points on  $C_1$ , then these are of the mentioned form, and the lattice is critical with respect to the circle; hence (60) is satisfied. Then  $\Lambda$  must also be critical with respect to the ellipse  $f_2 \leq 1$ ; for otherwise, since  $d(\Lambda) = \sqrt{\frac{3}{4}}$ , at least one lattice point  $P \neq O$  would be an inner point of the ellipse and so also an inner point of  $K$ . Hence there are also exactly six points of  $\Lambda$  on  $C_2$ .

**THEOREM 24.** *Let  $\Lambda$  be a critical lattice with less than six points on  $C_1$ . Then there are just four lattice points  $\pm P_1, \pm P_2$  on  $C_1$ , and four lattice points  $\pm P_3, \pm P_4$  on  $C_2$ †.*

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† It is possible for some of the lattice points on  $C_1$  to be identical with lattice points on  $C_2$ . This happens when some of the points  $Q_1, Q_2, Q_3, Q_4$  are lattice points

*Proof.* First, let  $\Lambda$  be a singular lattice. Then, by Theorem 14, its only points on  $C$  are  $Q_1, Q_2, Q_3, Q_4$ ; the assertion is therefore true. Secondly, let  $\Lambda$  be regular; then it has at least six points on  $C$ . We may assume, by the last theorem, that there are just four points of  $\Lambda$  on  $C_1$ ; otherwise we apply the transformation (59) and thus obtain a regular lattice with this property.

Let, then, the four lattice points on  $C_1$  be  $\pm P_1, \pm P_2$ , and assume that there are only two symmetrical lattice points  $\pm P_3$  on  $C_2$ . Then at most one of the two pairs of symmetrical points  $Q_1, Q_3$  and  $Q_2, Q_4$  belong to  $\Lambda$ . Hence there exists a sufficiently small angle  $\alpha$  such that the rotation

$$x \rightarrow x \cos \alpha - y \sin \alpha, \quad y \rightarrow x \sin \alpha + y \cos \alpha$$

changes  $\Lambda$  into a new lattice  $\Lambda^*$  with only four points  $\pm P_1^*, \pm P_2^*$  on  $C_1$  and containing no further points  $P \neq O$  of  $K$ . This lattice is therefore  $K$ -admissible, but not critical. Hence there exist lattices of smaller determinants. But this is impossible, since obviously  $d(\Lambda^*) = d(\Lambda)$ .

By Theorem 11, any two points of  $\Lambda$  on  $C_1$ , or any two such points on  $C_2$ , form a basis. Hence, if for brevity we write

$$(61) \quad Y = D(J), \quad \text{then} \quad \sqrt{\frac{3}{4}} \leq Y \leq 1.$$

For  $K$  contains the circle  $f_1 = 1$ ; further,  $|(P, Q)| \leq 1$  for any two points  $P$  and  $Q$  on  $C_1$ , or on  $C_2$ .

### 28. A sufficient condition for admissible lattices.

The construction of the critical lattices of  $K$  makes use of

**THEOREM 25.** *Suppose that the lattice  $\Lambda$  of determinant*

$$d(\Lambda) \geq \sqrt{\frac{3}{4}}$$

*has a basis consisting of two points  $P_1, P_2$  on  $f_1 = 1$ , and a second basis consisting of two points  $P_3, P_4$  on  $f_2 = 1$ . Then  $\Lambda$  is  $K$ -admissible.*

*Proof.* It suffices to show that no lattice point  $P \neq O$  is an inner point of  $f_2 = 1$ ; the analogous result for  $f_1 \leq 1$  is proved similarly.

Every point  $P: (x, y)$  can be written as

$$(62) \quad P = uP_3 + vP_4, \quad \text{where} \quad u = \frac{(P, P_4)}{(P_3, P_4)}, \quad v = -\frac{(P, P_3)}{(P_3, P_4)}.$$

The new coordinates  $u, v$  are integers if, and only if,  $P$  is a lattice point. The result of replacing  $x, y$  by  $u, v$  is that  $f_2$  takes the form

$$(63) \quad f_2(x, y) = f_2^*(u, v) = u^2 + 2suv + v^2,$$

since the two points  $u = 1, v = 0$  and  $u = 0, v = 1$  lie on  $f_2^* = 1$ . By the invariance property of the determinant of a quadratic form,

$$(64) \quad 1 - s^2 = (P_3, P_4)^2 = d(\Lambda)^2 \geq \frac{3}{4},$$

so that

$$(65) \quad -\frac{1}{2} \leq s \leq \frac{1}{2}.$$

Hence  $f_2^*$  is a reduced form†. Its minimum for integral  $u, v$  not both zero is then 1, as asserted.

Henceforth let  $S(J)$  be the set of lattices  $\Lambda$  with the following properties:

(a)  $\Lambda$  has a basis  $P_1, P_2$  on  $f_1 = 1$ , and a basis  $P_3, P_4$  on  $f_2 = 1$ .

(b) The determinant  $d(\Lambda) \geq \sqrt{\frac{3}{4}}$ .

We shall prove later that  $S(J)$  has only a finite number of elements, say the lattices

$$\Lambda_1, \Lambda_2, \dots, \Lambda_n.$$

By Theorem 25, these lattices are  $K$ -admissible; by Theorems 23 and 24, all critical lattices  $\Lambda$  belong to  $S(J)$ . Hence

$$(66) \quad D(J) = \min_{\nu=1, 2, \dots, n} d(\Lambda_\nu),$$

and so the critical lattices of  $K$  are just those elements  $\Lambda_\nu$  of  $S(J)$  for which  $d(\Lambda_\nu)$  assumes the minimum value  $D(J)$ .

### 29. Construction of the set $S(J)$ .

Let  $\Lambda$  be a lattice in  $S(J)$ . We may assume, without loss of generality, that the two bases

$$P_1: (x_1, y_1), P_2: (x_2, y_2) \quad \text{and} \quad P_3: (x_3, y_3), P_4: (x_4, y_4)$$

of  $\Lambda$  satisfy the inequalities

$$(67) \quad (P_1, P_2) > 0 \quad \text{and} \quad (P_3, P_4) > 0;$$

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† See footnote †, page 168.

hence

$$(68) \quad d(\Lambda) = (P_1, P_2) = (P_3, P_4) = x_1y_2 - x_2y_1 = x_3y_4 - x_4y_3.$$

The inequalities (67) remain satisfied if the pair of points  $P_1, P_2$  is replaced by one of the four pairs

$$P_1, P_2, \text{ or } P_2, -P_1, \text{ or } -P_1, -P_2, \text{ or } -P_2, P_1;$$

and if the pair of points  $P_3, P_4$  is replaced by one of the four pairs

$$P_3, P_4, \text{ or } P_4, -P_3, \text{ or } -P_3, -P_4, \text{ or } -P_4, P_3.$$

This gives a set  $\Omega$  of  $4 \times 4 = 16$  pairs of bases of  $\Lambda$ .

By the basis property and by (68), there are four integers  $\alpha_1, \beta_1, \alpha_2, \beta_2$  such that

$$(69) \quad P_3 = \alpha_1 P_1 + \beta_1 P_2, \quad P_4 = \alpha_2 P_1 + \beta_2 P_2, \quad \alpha_1 \beta_2 - \alpha_2 \beta_1 = +1.$$

When the pair of bases  $P_1, P_2$  and  $P_3, P_4$  is replaced by one of the other pairs in  $\Omega$ , then  $\alpha_1, \beta_1, \alpha_2, \beta_2$  undergo certain permutations and changes of signs, for which I refer to the following table.

*The 16 elements of  $\Omega$ .*

$P_1$	$P_2$	$P_3$	$P_4$	$\alpha_1$	$\beta_1$	$\alpha_2$	$\beta_2$	$X$	$Y$	$u$	$v$	$s$	1
$P_1$	$P_2$	$P_4$	$-P_3$	$\alpha_2$	$\beta_2$	$-\alpha_1$	$-\beta_1$	$X$	$Y$	$v$	$-u$	$-s$	2
$P_1$	$P_2$	$-P_3$	$-P_4$	$-\alpha_1$	$-\beta_1$	$-\alpha_2$	$-\beta_2$	$X$	$Y$	$-u$	$-v$	$s$	3
$P_1$	$P_2$	$-P_4$	$P_3$	$-\alpha_2$	$-\beta_2$	$\alpha_1$	$\beta_1$	$X$	$Y$	$-v$	$u$	$-s$	4
$P_2$	$-P_1$	$P_3$	$P_4$	$\beta_1$	$-\alpha_1$	$\beta_2$	$-\alpha_2$	$-X$	$Y$	$u$	$v$	$s$	5
$P_2$	$-P_1$	$P_4$	$-P_3$	$\beta_2$	$-\alpha_2$	$-\beta_1$	$\alpha_1$	$-X$	$Y$	$v$	$-u$	$-s$	6
$P_2$	$-P_1$	$-P_3$	$-P_4$	$-\beta_1$	$\alpha_1$	$-\beta_2$	$\alpha_2$	$-X$	$Y$	$-u$	$-v$	$s$	7
$P_2$	$-P_1$	$-P_4$	$P_3$	$-\beta_2$	$\alpha_2$	$\beta_1$	$-\alpha_1$	$-X$	$Y$	$-v$	$u$	$-s$	8
$-P_1$	$-P_2$	$P_3$	$P_4$	$-\alpha_1$	$-\beta_1$	$-\alpha_2$	$-\beta_2$	$X$	$Y$	$u$	$v$	$s$	9
$-P_1$	$-P_2$	$P_4$	$-P_3$	$-\alpha_2$	$-\beta_2$	$\alpha_1$	$\beta_1$	$X$	$Y$	$v$	$-u$	$-s$	10
$-P_1$	$-P_2$	$-P_3$	$-P_4$	$\alpha_1$	$\beta_1$	$\alpha_2$	$\beta_2$	$X$	$Y$	$-u$	$-v$	$s$	11
$-P_1$	$-P_2$	$-P_4$	$P_3$	$\alpha_2$	$\beta_2$	$-\alpha_1$	$-\beta_1$	$X$	$Y$	$-v$	$u$	$-s$	12
$-P_2$	$P_1$	$P_3$	$P_4$	$-\beta_1$	$\alpha_1$	$-\beta_2$	$\alpha_2$	$-X$	$Y$	$u$	$v$	$s$	13
$-P_2$	$P_1$	$P_4$	$-P_3$	$-\beta_2$	$\alpha_2$	$\beta_1$	$-\alpha_1$	$-X$	$Y$	$v$	$-u$	$-s$	14
$-P_2$	$P_1$	$-P_3$	$-P_4$	$\beta_1$	$-\alpha_1$	$\beta_2$	$-\alpha_2$	$-X$	$Y$	$-u$	$-v$	$s$	15
$-P_2$	$P_1$	$-P_4$	$P_3$	$\beta_2$	$-\alpha_2$	$-\beta_1$	$\alpha_1$	$-X$	$Y$	$-v$	$u$	$-s$	16
1	2	3	4	5	6	7	8	9	10	11	12	13	

Let a new system of rectangular coordinates  $U, V$  be defined by

$$(70) \quad x = x_1 U - y_1 V, \quad y = y_1 U + x_1 V,$$

or conversely, since  $x_1^2 + y_1^2 = 1$ ,

$$(71) \quad U = x_1 x + y_1 y, \quad V = -y_1 x + x_1 y.$$

In this system,  $P_1$  and  $P_2$  have the coordinates

$U_1 = 1, V_1 = 0$  and  $U_2 = X = x_1 x_2 + y_1 y_2, V_2 = Y = x_1 y_2 - x_2 y_1$ ;  
here

$$(72) \quad X^2 + Y^2 = 1, \quad Y = d(\Lambda) > 0.$$

Further, by (69), the coordinates of  $P_3$  and  $P_4$  are given by

$$U_3 = \alpha_1 + \beta_1 X, \quad V_3 = \beta_1 Y \quad \text{and} \quad U_4 = \alpha_2 + \beta_2 X, \quad V_4 = \beta_2 Y.$$

Finally, if, as in § 28, we introduce  $u, v$  by (62), then

$$\begin{aligned} U &= (\alpha_1 + \beta_1 X)u + (\alpha_2 + \beta_2 X)v, \\ V &= \beta_1 X u + \beta_2 Y v, \end{aligned}$$

and so, on solving for  $u$  and  $v$ , we have

$$(73) \quad \begin{cases} Yu = +\beta_2 YU - (\alpha_2 + \beta_2 X)V. \\ Yv = -\beta_1 YU + (\alpha_1 + \beta_1 X)V. \end{cases}$$

I refer to the last table for the changes of these numbers  $\alpha_1, \beta_1, \alpha_2, \beta_2, X, Y, u, v$ , when the pair of bases  $P_1, P_2$  and  $P_3, P_4$  is replaced by another pair in  $\Omega$ .

By § 28,  $f_2$  takes the form (63) in  $u$  and  $v$ . By (64) and (72),

$$(74) \quad s = \epsilon X, \quad \text{where} \quad \epsilon = \pm 1.$$

An inspection of the table shows that it is always possible to choose the pair of bases  $P_1, P_2$  and  $P_3, P_4$  in  $\Omega$  so that the following inequalities are satisfied:

$$(75) \quad X \geq 0, \quad s \geq 0, \quad \alpha_1 \geq 0.$$

Therefore, in particular,

$$(76) \quad s = X.$$

Replace  $u$  and  $v$  by  $U$  and  $V$ . Then  $f_2$  changes into

$$(77) \quad f_2(x, y) = F_2(U, V) = AU^2 + 2BUV + CV^2,$$



where, by (63), (73), and (76),

$$(78) \begin{cases} A = \beta_1^2 - 2\beta_1\beta_2 X + \beta_2^2, \\ YB = -\beta_1(a_1 + \beta_1 X) + X\{\beta_2(a_1 + \beta_1 X) + \beta_1(a_2 + \beta_2 X)\} - \beta_2(a_2 + \beta_2 X), \\ Y^2 C = (a_1 + \beta_1 X)^2 - 2(a_1 + \beta_1 X)(a_2 + \beta_2 X)X + (a_2 + \beta_2 X)^2. \end{cases}$$

Further, since the change from  $x, y$  to  $U, V$  is an orthogonal transformation,

$$f_1(x, y) = F_1(U, V) = U^2 + V^2.$$

Hence the simultaneous invariant

$$J = A + C,$$

so that, by (72) and (78),

$$(79) \quad (a_1^2 + a_2^2 + \beta_1^2 + \beta_2^2 - J) - 2(a_1 - \beta_2)(a_2 - \beta_1)X - \{2(a_1\beta_2 + a_2\beta_1) - J\}X^2 = 0.$$

For given  $J$ , this is a quadratic equation for  $X$ . *It does not reduce to an identity*, for then

$$a_1^2 + a_2^2 + \beta_1^2 + \beta_2^2 = J, \quad 2(a_1\beta_2 + a_2\beta_1) = J;$$

hence

$$(a_1 - \beta_2)^2 + (a_2 - \beta_1)^2 = 0,$$

and since  $a_1 \geq 0$ ,  $a_1\beta_2 - a_2\beta_1 = 1$ ,

$$a_1 = \beta_2 = 1, \quad a_2 = \beta_1 = 0, \quad J = 2.$$

This value of  $J$  was, however, excluded by § 25.

By the assumption (b) in § 28, and by (72) and (75),

$$(80) \quad 0 \leq X \leq \frac{1}{2}.$$

Suppose now, conversely, that (79) has a solution  $X$  satisfying these inequalities. Then the coefficients  $A, B, C$  of  $F$  are given by (78), with

$$(81) \quad Y = |\sqrt{1 - X^2}|.$$

We further obtain the  $(U, V)$ -coordinates of  $P_1, P_2, P_3, P_4$  from their expressions as functions of  $a_1, \beta_1, a_2, \beta_2, X, Y$ . There remains the reduction of  $F_1(U, V)$  and  $F_2(U, V)$  to the normal form (54) by means of an orthogonal transformation (71); this problem is dealt with in the theory of conics. After this reduction, the  $(x, y)$ -coordinates of  $P_1, P_2, P_3, P_4$  and so the lattice  $\Lambda$  are known.

Therefore, in order to construct all elements of  $S(J)$ , it suffices to solve (79) with respect to  $X$ . Here the coefficients  $a_1, \beta_1, a_2, \beta_2$  must take all integral values with

$$(82) \quad a_1 \geq 0, \quad a_1\beta_2 - a_2\beta_1 = 1,$$

for which both (79) and (80) can be satisfied.

30. *The finiteness of  $S(J)$ .*

**THEOREM 26.** *The set  $S(J)$  has only a finite number of elements.*

*Proof.* It suffices to show that the conditions (79) and (80) are solvable for at most a finite number of sets of integers  $\alpha_1, \beta_1, \alpha_2, \beta_2$ .

The equation (79) can be written as

$$(83) \quad \Phi(X; \alpha_1, \beta_1, \alpha_2, \beta_2) = J,$$

where

$$\begin{aligned} \Phi(X; \alpha_1, \beta_1, \alpha_2, \beta_2) \\ = \frac{(\alpha_1^2 + \beta_1^2 + \alpha_2^2 + \beta_2^2) - 2(\alpha_1 - \beta_2)(\alpha_2 - \beta_1)X - 2(\alpha_1\beta_2 + \alpha_2\beta_1)X^2}{1 - X^2}. \end{aligned}$$

This expression  $\Phi$  is a *positive definite* quadratic form in  $\alpha_1, \beta_1, \alpha_2, \beta_2$ ; for it can be written as

$$\begin{aligned} \Phi(X; \alpha_1, \beta_1, \alpha_2, \beta_2) \\ = \frac{1}{1 - X^2} (\alpha_1 - X^2\beta_2 - X\alpha_2 + X\beta_1)^2 + (1 + X^2) \left( \beta_2 + \frac{X}{1 + X^2} \alpha_2 - \frac{X}{1 + X^2} \beta_1 \right)^2 \\ + \frac{1}{1 + X^2} (\alpha_2 + X^2\beta_1)^2 + (1 - X^2) \beta_1^2. \end{aligned}$$

From this identity, by (80),

$$\Phi(X; \alpha_1, \beta_1, \alpha_2, \beta_2) \geq (1 - X^2) \beta_1^2 \geq \frac{3}{4} \beta_1^2.$$

Further, from the definition of  $\Phi$ ,

$$\begin{aligned} \Phi(X; \alpha_1, \beta_1, \alpha_2, \beta_2) &= \Phi(X; \beta_1, \alpha_1, \beta_2, \alpha_2) \\ &= \Phi(X; \alpha_2, \beta_2, \alpha_1, \beta_1) = \Phi(X; \beta_2, \alpha_2, \beta_1, \alpha_1). \end{aligned}$$

Hence  $\beta_1$  may be replaced by  $\alpha_1, \beta_1, \alpha_2, \beta_2$  in the last inequality, and so, by (83),

$$(84) \quad \max(\alpha_1^2, \beta_1^2, \alpha_2^2, \beta_2^2) \leq \frac{4J}{3},$$

which proves the assertion.

Let then  $\Lambda_\nu$  ( $\nu = 1, 2, \dots, n$ )

be the elements of  $S(J)$ ; let

$$\alpha_1^{(\nu)}, \beta_1^{(\nu)}, \alpha_2^{(\nu)}, \beta_2^{(\nu)} \quad (\nu = 1, 2, \dots, n)$$

be the sets of four integers; and let

$$\Phi_\nu(X) = \Phi(X; \alpha_1^{(\nu)}, \beta_1^{(\nu)}, \alpha_2^{(\nu)}, \beta_2^{(\nu)}) \quad (\nu = 1, 2, \dots, n)$$

be the functions belonging to these lattices. The following table contains all functions  $\Phi_\nu$  which represent at least one value of  $J$  in  $2 \leq J \leq 25$  for an argument  $X$  in  $0 \leq X \leq \frac{1}{2}$ .

Table of all functions  $\Phi$  which represent  $J$  for  $J \leq 25$ .

$\Phi(\frac{1}{2})$	$(1-X^2)\Phi(X; \alpha_1, \beta_1, \alpha_2, \beta_2)$	$\alpha_1$	$\beta_1$	$\alpha_2$	$\beta_2$	$\alpha_1$	$\beta_1$	$\alpha_2$	$\beta_2$	$\alpha_1$	$\beta_1$	$\alpha_2$	$\beta_2$	$\alpha_1$	$\beta_1$	$\alpha_2$	$\beta_2$	
2	$2-2X^2$ †	1	0	0	1													
	$3-4X+2X^2$	0	1	-1	1	0	-1	1	-1	1	-1	1	0					
$\frac{1}{3}^0$	$2+2X^2$ ‡	0	1	-1	0	0	-1	1	0									
	$3-2X^2$	1	1	0	1	1	0	1	1	1	-1	0	1	1	0	-1	1	
	$6-8X+2X^2$	0	1	-1	2	0	-1	1	-2	2	-1	1	0					
	$7-12X+6X^2$	1	-2	1	-1	1	-1	2	-1									
$\frac{2}{3}^2$	$3+4X+2X^2$	0	1	-1	-1	0	-1	1	1	1	1	-1	0					
	$6-2X^2$	1	2	0	1	1	-2	0	1	1	0	2	1	1	0	-2	1	
	$7-6X^2$	1	1	1	2	1	-1	-1	2	2	1	1	1	2	-1	-1	1	
	$11-12X+2X^2$	0	1	-1	3	0	-1	1	-3	3	-1	1	0					
	$15-24X+10X^2$	1	-3	1	-2	1	-1	3	-2	2	-3	1	-1	2	-1	3	-1	
	$18-32X+14X^2$	1	-2	2	-3	3	-2	2	-1									
14	$6+8X+2X^2$	0	1	-1	-2	0	-1	1	2	2	1	-1	0					
	$11-2X^2$	1	3	0	1	1	-3	0	1	1	0	3	1	1	0	-3	1	
	$15-4X-10X^2$	1	2	1	3	1	-1	-2	3	3	1	2	1	3	-2	-1	1	
	$18-16X+2X^2$	0	1	-1	4	0	-1	1	4	4	-1	1	0					
	$27-40X+14X^2$	1	-4	1	-3	1	-1	4	-3	3	-4	1	-1	3	-1	4	-1	
	$38-72X+34X^2$	2	-3	3	-4	4	-3	3	-2									
$\frac{5}{3}^2$	$7+12X+6X^2$	1	2	-1	-1	1	1	-2	-1									
	$15+4X-10X^2$	1	-2	-1	3	1	1	2	3	3	2	1	1	3	-1	-2	1	
	$18-14X^2$	2	3	1	2	2	-3	-1	2	2	1	3	2	2	-1	-3	2	
	$34-48X+18X^2$	2	-5	1	-2	2	-1	5	-2									
	$39-60X+22X^2$	1	-3	2	-5	1	-2	3	-5	5	-3	2	-1	5	-2	3	-1	
	$47-84X+38X^2$	3	-5	2	-3	3	-2	5	-3									
$\frac{7}{3}^0$	$11+12X+2X^2$	0	1	-1	-3	0	-1	1	3	3	1	-1	0					
	$18-2X^2$	1	4	0	1	1	-4	0	1	1	0	4	1	1	0	-4	1	
	$27-20X+2X^2$	0	1	-1	5	0	-1	1	-5	5	-1	1	0					
	$27-12X-14X^2$	1	3	1	4	1	-1	-3	4	4	-3	-1	1	4	1	3	1	
	$43-60X+18X^2$	1	-5	1	-4	1	-1	5	-4	4	-5	1	-1	4	-1	5	-1	
	$66-128X+62X^2$	3	-4	4	-5	5	-4	4	-3									

Excluded case.  
Singular lattices.

As this table shows, there are in general two, three, or four systems of integers  $\alpha_1^{(\nu)}, \beta_1^{(\nu)}, \alpha_2^{(\nu)}, \beta_2^{(\nu)}$  belonging to the same function  $\Phi_\nu$  and so also an equal number of lattices  $\Lambda_\nu$ †. It is easily seen that if there are different critical lattices belonging to the same function  $\Phi_\nu$ , then these are transformed into one another by the group  $G$  of order 4 generated by the following two affine transformations:

*The symmetry in the y-axis,*

$$A: \quad x \rightarrow -x, \quad y \rightarrow y.$$

*The interchange of  $f_1 = 1$  and  $f_2 = 1$ ,*

$$B: \quad x \rightarrow \lambda^{-1}y, \quad y \rightarrow \lambda^1x.$$

For A replaces the integers  $\alpha_1, \beta_1, \alpha_2, \beta_2$  by

$$\epsilon\beta_2, \epsilon\alpha_2, \epsilon\beta_1, \epsilon\alpha_1,$$

where  $\epsilon = \pm 1$  is such that  $\epsilon\beta_2 \geq 0$ , and B replaces them by

$$\alpha_1, -\alpha_2, -\beta_1, \beta_2.$$

From now on, two critical lattices are considered as equivalent if they are related by an element of this group  $G$ ; equivalent lattices belong to the same function  $\Phi_\nu$ .

### 31. The value of $D(J)$ for $2 \leq J \leq 25$ .

By formula (66) in § 28,

$$D(J) = \min_{\nu=1, 2, \dots, n} d(\Lambda_\nu).$$

Hence, if

$$Y = D(J), \quad X = |\sqrt{(1-Y^2)}|, \quad \text{and} \quad Y_\nu = d(\Lambda_\nu), \quad X_\nu = |\sqrt{(1-Y_\nu^2)}|,$$

then

$$(85) \quad \Phi_\nu(X_\nu) = J, \quad 0 \leq X_\nu \leq \frac{1}{2},$$

$$(86) \quad X = \max_{\nu=1, 2, \dots, n} X_\nu.$$

† Two systems of integers

$$0, 1, -1, \beta_2^{(\nu)} \quad \text{and} \quad 0, -1, 1, -\beta_2^{(\nu)}$$

are interchanged by elements of  $\Omega$  (§ 29) and generate the same lattice.

By a study of the last table I find that for every  $J$  in  $2 \leq J \leq 25$  and for every  $\Phi$ , there is *at most one* solution  $X$ , of (85). Further, most of these solutions  $X$ , can be ignored for the following reasons.

The rows of the table have been arranged in sets of functions

$$(1-X^2)\Phi_v(X)$$

so that  $\Phi_v(\frac{1}{2})$  is the same in each set. It was also found possible to arrange the rows according to *increasing values of these functions* for variable values of  $X$ ; e.g., in the second set,

$$\frac{2+2X^2}{1-X^2} \leq \frac{3-2X^2}{1-X^2} \leq \frac{6-8X+2X^2}{1-X^2} \leq \frac{7-12X+8X^2}{1-X^2} \quad \text{for } 0 \leq X \leq \frac{1}{2}.$$

Hence, for a given value of  $J$  in  $2 \leq J \leq 25$ , the maximum  $X = X$ , belongs to one of those 11 equations

$$\Phi_v(X_v) = J$$

in which the function  $\Phi_v$  is either at the *beginning* or at the *end* of one of the 6 sets of rows of the table. There is no difficulty in deciding which is the largest of these solutions  $X_v$ . The result depends on the value of  $J$ , and is given in the following table. This table further contains the minimum determinant

$$D(J) = \Delta(K)$$

and the corresponding critical lattice †.

In the table, the numbers  $\sigma_k$  are defined thus:

$$\sigma_0 = 2, \quad \sigma_1 = \frac{1^0}{3^0}, \quad \sigma_2 = \frac{2^2}{3^2}, \quad \sigma_3 = 14, \quad \sigma_4 = \frac{5^8}{3^8}, \quad \sigma_5 = \frac{7^0}{3^0};$$

and  $J_n$  is defined thus

$$J_1 = \frac{34}{15}, \quad J_2 = \frac{3+14\sqrt{3}}{6}, \quad J_3 = 10, \quad J_4 = \frac{178+576\sqrt{14}}{143},$$

$$J_5 = \frac{63+88\sqrt{7}}{14}.$$

---

† If there exist several critical lattices, then they are all equivalent to the one given, except when  $J$  is one of the numbers  $\sigma_v$  or  $J_v$ .

*D(J)* and critical lattices for  $2 \leq J \leq 25$ .

No.	Interval.	$(1-X^2)Y =$	$X =$	$D(J) = Y =$	Critical lattice.
1	$\sigma_0 \leq J \leq J_1$	$3-4X+2X^2$	$\frac{2-(J^2-J-2)\dagger}{J+2}$	$\frac{\{5J+2+4(J^2-J-2)\dagger\}}{J+2}$	$P_3 = P_2$ $P_4 = -P_1+P_3$
2	$J_1 \leq J \leq \sigma_1$	$2+2X^2$	$\left(\frac{J-2}{J+2}\right)^\dagger$	$2(J+2)^\dagger$	$P_3 = P_2^\dagger$ $P_4 = -P_1$
3	$\sigma_1 \leq J \leq J_2$	$7-12X+6X^2$	$\frac{6-(J^2-J-6)\dagger}{J+6}$	$\frac{\{13J+6+12(J^2-J-6)\dagger\}}{J+6}$	$P_3 = P_1-2P_2$ $P_4 = P_1-P_2$
4	$J_2 \leq J \leq \sigma_2$	$3+4X+2X^2$	$\frac{-2+(J^2-J-2)\dagger}{J+2}$	$\frac{\{5J+2+4(J^2-J-2)\dagger\}}{J+2}$	$P_3 = P_1$ $P_4 = -P_1-P_2$
5	$\sigma_2 \leq J \leq J_3$	$18-32X+14X^2$	$-\frac{J-18}{J+14}$	$\frac{8(J-2)\dagger}{J+14}$	$P_3 = P_1-2P_2$ $P_4 = 2P_1-3P_2$
6	$J_3 \leq J \leq \sigma_3$	$6+8X+2X^2$	$\frac{J-6}{J+2}$	$\frac{4(J-2)\dagger}{J+2}$	$P_3 = P_2$ $P_4 = -P_1-2P_2$
7	$\sigma_3 \leq J \leq J_4$	$38-72X+34X^2$	$-\frac{J-38}{J+34}$	$\frac{12(J-2)\dagger}{J+34}$	$P_3 = 2P_1-3P_2$ $P_4 = 3P_1-4P_2$
8	$J_4 \leq J \leq \sigma_4$	$7+12X+6X^2$	$\frac{-6+(J^2-J-6)\dagger}{J+6}$	$\frac{\{13J+6+12(J^2-J-6)\dagger\}}{J+6}$	$P_3 = P_1+2P_2$ $P_4 = -P_1-P_2$
9	$\sigma_4 \leq J \leq J_5$	$47-84X+38X^2$	$\frac{42-(J^2-9J-22)\dagger}{J+38}$	$\frac{\{85J-298+84(J^2-9J-22)\dagger\}}{J+38}$	$P_3 = 3P_1-5P_2$ $P_4 = 2P_1-3P_2$
10	$J_5 \leq J \leq \sigma_5$	$11+12X+2X^2$	$\frac{-6+(J^2-9J+14)\dagger}{J+2}$	$\frac{\{13J-46+12(J^2-9J+14)\dagger\}}{J+2}$	$P_3 = P_2$ $P_4 = -P_1-3P_2$
11	$\sigma_5 \leq J \leq 25$	$66-128X+62X^2$	$-\frac{J-66}{J+62}$	$\frac{16(J-2)\dagger}{J+62}$	$P_3 = 3P_1-4P_2^\dagger$ $P_4 = 4P_1-5P_2$

† Singular lattice.

‡ These values of X and Y remain true for  $\sigma_6 \wedge J \wedge 206$ .

In the intervals No. 1-11 of the table, the functions  $X = X(J)$  and  $Y = Y(J)$  behave in the following manner:

$\left. \begin{matrix} X \\ Y \end{matrix} \right\}$  is steadily  $\left\{ \begin{matrix} \text{increasing} \\ \text{decreasing} \end{matrix} \right\}$  in the intervals No. 2, 4, 6, 8, 10.

$\left. \begin{matrix} X \\ Y \end{matrix} \right\}$  is steadily  $\left\{ \begin{matrix} \text{decreasing} \\ \text{increasing} \end{matrix} \right\}$  in the intervals No. 1, 3, 5, 7, 9, 11.

Further,

$$X = \frac{1}{2}, \quad Y = \frac{\sqrt{3}}{2} \quad \text{for } J = \sigma_0, \sigma_1, \sigma_2, \sigma_3, \sigma_4, \sigma_5,$$

and

$$X = \frac{1}{4}, \quad Y = \frac{\sqrt{15}}{4} \quad \text{for } J = J_1,$$

$$X = 2 - \sqrt{3}, \quad Y = \sqrt{4\sqrt{3} - 6} \quad \text{for } J = J_2,$$

$$X = \frac{1}{3}, \quad Y = \frac{2\sqrt{2}}{3} \quad \text{for } J = J_3,$$

$$X = \frac{21 - 4\sqrt{14}}{14}, \quad Y = \sqrt{\left(\frac{24\sqrt{14} - 67}{28}\right)} \quad \text{for } J = J_4,$$

$$X = \frac{4 - \sqrt{7}}{3}, \quad Y = \sqrt{\left(\frac{8\sqrt{7} - 14}{9}\right)} \quad \text{for } J = J_5.$$

The interval No. 2 is particularly interesting, since here  $K$  has only a single critical lattice, and this is singular. At the lower end  $J = \frac{34}{15}$  of this interval,  $K$  has this singular lattice, and also the regular lattice

$$P_3 = P_2, \quad P_4 = -P_1 + P_2,$$

and the lattice symmetrical to it in the  $y$ -axis.

The table shows that the critical lattices of  $K$  have 2, 3, 4, 5, or 6 pairs of symmetrical points on  $C$ , depending on the value of  $J$ .

The general law of the function  $D(J)$  seems to be very complicated. By the table, the graph of  $Y = D(J)$  is a saw-like curve for  $2 \leq J \leq 25$ , and possibly for all values of  $J$ . In the intervals No. 5, 6, 7, and 11,  $D(J)$  takes a surprisingly simple form.

One can show that  $\frac{\sqrt{3}}{2} \leq D(J) \leq \frac{\sqrt{15}}{4}$  for all values of  $J$ , and that

$$\lim_{J \rightarrow \infty} D(J) = \frac{\sqrt{3}}{2};$$

this limit equation was communicated to me by P. Erdős.

I remark finally that the problem and result of this chapter can be extended to a pair of positive definite Hermitian forms; but then the proof is preferably based on the geometrical theory of Picard's group.

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